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Express Mail No.: EL 477 036 634 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Tarrant, Jeffrey G.

Application No.: 10/091,412

Group Art Unit: 2122

Filed: March 5, 2002

Examiner: Not yet assigned

For: METHOD AND SYSTEM FOR CREATING  
AND OPERATING AN INVESTABLE  
HEDGE FUND INDEX FUND

Attorney Docket No.: 10727-004

**RESPONSE TO NOTICE TO FILE**  
**MISSING PARTS OF NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts Of Nonprovisional Application mailed on April 8, 2002, a copy of which is enclosed, applicants submit herewith, (1) a Declaration for Non-Provisional Patent Application executed by the inventor; and (2) 26 sheets of substitute drawings with appropriate margins.

The fee for the submission of this response is estimated to be \$65. Please charge all required fees to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date June 3, 2002

*Steven I. Wallach* (Reg No. 47,205)  
35,402  
for Steven I. Wallach Reg. No.  
**PENNIE & EDMONDS LLP**  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Enclosures



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/091,412	03/05/2002	Jeffrey G. Tarrant	10727-004

CONFIRMATION NO. 8890

## FORMALITIES LETTER



\*OC000000007812059\*

PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711

Date Mailed: 04/08/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

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drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*E. H. H. H.*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

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